



**ASIC**

Australian Securities & Investments Commission

## INFORMATION SHEET 30

### Fees for commonly lodged documents

This information sheet summarises the statutory fees payable for the most commonly lodged documents. It also advises the lodgement periods, if applicable. Fees are also shown on the guide that accompanies printed forms.

Fees are determined by:

- the Corporations (Fees) Regulations 2001;
- the Corporations (Review Fees) Regulations 2003;
- the National Consumer Credit Protection (Fees) Regulations 2010;
- the Business Names Registration (Fees) Regulations 2011; and
- the Superannuation Auditor Registration Imposition Regulation 2012.

These fees are not subject to GST.

Some forms can be lodged electronically—see [www.asic.gov.au/forms](http://www.asic.gov.au/forms) for details.

#### Important notice

Please note that this is a summary giving you the basic information you need. It does not cover the whole of the relevant law and is not a substitute for professional advice.

Please note also that because this information sheet avoids legal language wherever possible there may be some generalisations about the application of the law. Some provisions of the law referred to have exceptions or important qualifications. In most cases your particular circumstances need to be taken into account when determining how the law applies to you.

For further information contact **ASIC** on **1300 300 630**.

Information sheets provide concise guidance on a specific process or compliance issue or an overview of detailed guidance.

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## Starting a company

Applications relating to foreign companies appear in a separate section.

### Choosing a company name

Note: No late fees apply to these applications. Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form	From 1 July 2017	Notes	
Reserve a company name	410	\$48		Item 26
Extend a reservation of a company name	410	\$48		Item 26
Apply to Minister for consent to use a company name	-	\$1,194		Item 22(a)
Apply to register company name without the word 'limited'	432	\$395		Item 24(b)

### Registering your company, registrable Australian body or scheme

Note: No late fees apply to these applications. Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to register as?	Use form	From 1 July 2017	Notes	
An Australian (Pt 2A.1) company having share capital	201	\$479		Item 5(a)(i)
An Australian (Pt 2A.1) company not having share capital	201	\$395		Item 5(a)(ii)
An Australian company under Pt 5B.1 by a body corporate that is already registered as a foreign company under Pt 5B.2 Div 2	202	\$395		Item 5(b)(i)
An Australian company under Pt 5B.1 by a body corporate that is not registered under the Corporations Act 2001	202	\$479		Item 5(b)(ii)

What do you want to register as?	Use form	From 1 July 2017	Notes	
A registered Australian body by a body incorporated or registered under a law dealing with the incorporation of associations	401	\$395		Item 6(a)(i)
A registered Australian body by a body registered under another law	401	\$479		Item 6(a)(ii)
A managed investment scheme	5100	\$2,400		Item 6A

## Keeping the register up to date

### Late lodgement fee

Documents notifying changes must be lodged within the time prescribed for notifying that change.

Note: Late lodgement fees are payable **in addition to** any prescribed fee. Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

How late is the document?	From 1 July 2017	Notes	
If document is received within 1 month after the prescribed time	\$78		Item 28(a)
If document is received more than 1 month after the prescribed time	\$323		Item 28(b)

See 'Late fees for annual review' (page 10) for information about late payment and late review fees.

### Updating the register generally

Note: The form number appearing in italics refers to an internal ASIC reference number and not a prescribed form.

What do you want to do?	Use form	From 1 July 2017	
Request correction of previously advised changes	492	No fee, except in circumstances where the correction highlights a fee that would have been charged if the original document had been lodged and processed correctly	
Notify supplementary information	<i>902</i>	No fee	
Verify or certify a document being lodged	911	No fee	

### Shares

Note: No fees apply for lodging these forms, however late fees will be charged if lodged outside the relevant time limit for notifying the change. See also 'Fundraising'.

What do you want to note on the register?	Use form	From 1 July 2017	Notes	
Cancellation of shares	484	No fee	Late fee applies if <i>not</i> notified within 28 days after cancellation	
Issue of shares	484	No fee	However late fee applies if not notified within 28 days after issue	
If shares issued for non cash consideration and under written contract Form 207Z <i>Certification of compliance with stamp duty</i> must also be lodged	207Z	No fee		
A public company must also lodge a Form 208 <i>Notification of details of share issued other than for cash</i> . If shares are issued under written contract either the Form 208 or a copy of the written contract should be lodged.	208	No fee		
Change to share structure	484	No fee	Late fee applies if not notified within 28 days after change	
Notify of members' resolution to alter rights of shares	2205	No fee	Late fee applies if not notified within 14 days after passing resolution	
Notify of members' resolution to convert shares into smaller or larger number	2205	No fee	Late fee applies if not notified within 1 month after passing resolution	
Notify of members' resolution to reduce share capital	2205	No fee	Late fee applies if not notified within 14 days after passing resolution	
Notify of special resolution that unpaid share capital not be called on unless company goes under external administration	2205	No fee	Late fee applies if not notified within 14 days after passing resolution	
Notify a division or conversion of a share class or classes	211	No fee	Late fee applies if not notified within 14 days after division or conversion	

## Registered office

Note: No fees apply for lodging these forms, however late fees will be charged if lodged outside the relevant time limit for notifying the change.

What do you want to note on the register?	Use form	From 1 July 2017	Notes
Change of address of registered office or principal place of business of an Australian company	484	No fee	A late fee applies if not notified within 28 days of change
Change to company office hours	487	No fee	A late fee applies if notified on or after date of change of hours
Contact address of an Australian company	486	No fee	
Change of address of registered office of a registered body in Australia	489	No fee	A late fee applies if not notified within 7 days after change
Change of office hours for registered office of a registered body in Australia	489	No fee	A late fee applies if not notified within 7 days after change
Change of address of a registered body in its place of origin	489	No fee	A late fee applies if not notified within 1 month after change
Change directors or directors' details of a registered body in Australia	490	No fee	A late fee applies if not notified within 1 month after change

## Financial reports and accounts

Note: The form number appearing in italics refers to an internal ASIC reference number and not a prescribed form. Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form	From 1 July 2017	Notes	
Lodge a copy of annual financial reports of a company	388	No fee	Late fee applies for company that is not a disclosing entity after 4 months after end of financial year	
Lodge a copy of annual financial reports for a registered scheme or company that is a disclosing entity	388	No fee	Late fee applies after 3 months after end of financial year	
Lodge a copy of annual financial report by disclosing entity that is not a company or registered scheme	388	\$1,194	Late fee applies after 3 months after the end of financial year	Item 9A
Lodge amended annual financial report by company registered scheme or disclosing entity	388	No fee	Late fee applies after 14 days after date of amendment	
Apply for relief from requirements relating to accounts and reports (Use Form CF02 <i>Applications for relief – financial reporting</i> )	336	\$395		Item 24(a)
Lodge the half yearly accounts of a disclosing entity	7051 (1001)	No fee	Late fee applies if lodged 75 days after end of accounting period	Item 9B



## Annual review

Note: Item number refers to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form	From 1 July 2017	Notes
Apply to change annual review date of company or registered scheme or of more than one company or registered scheme	488	For 1 to 9 companies or schemes- \$39 per company or scheme For 10 or more companies or schemes - \$395 per application	Items 7 and 7A
Change company details in response to a company statement	484	No fee	Late review fee applies if received more than 28 days after date of issue of company statement. Note – if change is also outside 28 day lodging period for notifying a change a late lodgement fee will also apply.
Change scheme details in response to a scheme statement	491	No fee	Late review fee applies if received more than 28 days after date of issue of scheme statement

## Annual review fees

For most companies and schemes, their annual review date is the anniversary of their date of registration or incorporation.

Note: Item numbers refer to Sch 1 Pt 1 of the Corporations (Review Fees) Regulations 2003. There are no forms prescribed.

What are you registered as?	From 1 July 2017	Notes	
A public company (except a special purpose company or a small transferring financial institution)	\$1,201	Late payment fee applies if not paid within 2 months after review date	Item 101
A proprietary company (except a special purpose company)	\$254	Late payment fee applies if not paid within 2 months after review date	Item 102
A special purpose company*	\$48	Late payment fee applies if not paid within 2 months after review date	Item 103
A registered scheme	\$1,201	Late payment fee applies if not paid within 2 months after review date	Item 104
A small transferring financial institution (except a special purpose company)	\$240	Late payment fee applies if not paid within 2 months after review date	Item 106
A registered scheme that is being wound up	No fee		Item 105

What are you registered as?	From 1 July 2017	Notes	
A company in liquidation	No fee		Item 107

### Upfront annual review fees

A company or registered scheme may elect to pay the fee specified above as an upfront or advance payment for fees in relation to review dates for a period of 10 years.

Note: Item numbers refer to Sch 1 Pt 1A of the Corporations (Review Fees) Regulations 2003. There are no forms prescribed. No late fees apply.

What are you registered as?	From 1 July 2017	Notes	
A public company (except a special purpose company or a small transferring financial institution)	\$9,199		Item 101
A proprietary company (except a special purpose company)	\$1,911		Item 102
A special purpose company *	\$359	See note below	Item 103
A registered scheme	\$9,199		Item 104

\* A special purpose company is defined in Regulation 3 of the Corporations (Review Fees) Regulations 2003 as:

- a) a company holding a licence that is continued in force by section 151 of the Act and allows the company to omit 'Limited' from its name; or
- b) in the case of a company limited by guarantee, or by both shares and guarantee, that was registered immediately before the commencement of this paragraph — if the constitution of the company states that the company:
  - (i) is formed for the purpose of providing recreation or amusement or promoting commerce, industry, art, science, religion, charity, patriotism, pension or superannuation schemes or any other object useful to the community; and
  - (ii) applies its profits (if any) or other income in promoting its purpose; and
  - (iii) prohibits the distribution of its income or property to its members; or
- c) a company that, under subsection 150 (1) of the Act, is registered without 'Limited' in its name; or
- d) a company the constitution of which:

- (i) requires the company to pursue charitable purposes only and to apply its income in promoting those purposes; and
  - (ii) prohibits the company making distributions to its members and paying fees to its directors; and
  - (iii) requires its directors to approve all other payments the company makes to them; or
- e) a proprietary company, if:
- (i) the company is the proprietor of land on which a building divided into separate residential areas and areas for common use is erected; and
  - (ii) the members of the company are entitled, because of the shares they hold in the company, to the exclusive occupation of 1 or more of the residential areas and to the use of the common areas; and
  - (iii) the company only operates to facilitate and enforce the rights of company members in relation to the exclusive occupation of the residential areas and to maintain the common areas; or
- f) a company, other than a company mentioned in paragraph (a), (b), (c), (d) or (e), if:

- (i) the constitution of the company prohibits distribution of the company's income or property to its members; and

- (ii) the sole purpose of the company is to act as the trustee of a regulated superannuation fund within the meaning of section 19 of the Superannuation Industry (Supervision) Act 1993.

### Late fees for annual review

Note: These fees are payable **in addition to** any prescribed fee.

	From 1 July 2017	Notes	
<b>Late payment fee</b> Annual review fees must be paid within 2 months after review date (except upfront fees)		Item numbers refer to Sch 1 Pt 2 of the Corporations (Review Fees) Regulations 2003.	
If payment is received within 1 month after due date	\$78		Item 201
If payment is received more than 1 month after due date	\$323		Item 202

<b>Late lodgement fee</b> Documents notifying changes must be lodged within the time prescribed for notifying that change .		Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001	
If document is received within 1 month after the prescribed time	\$78		Item 28(a)
If document is received more than 1 month after the prescribed time	\$323		Item 28(b)

<b>Late review fee</b> Changes to information in annual statement must be notified within 28 days after issue date of statement		Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.	
If document is received within 1 month after the prescribed time	\$78		Item 28(a)

	From 1 July 2017	Notes	
If document is received more than 1 month after the prescribed time	\$323		Item 28(b)

**Note:** A document can be charged **both** a late lodgement fee **and** a late review fee.

## Changing the set up of your company

Note: Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form	From 1 July 2017	Notes	
<b>Change the company name</b> Notify a members' resolution to change the company name (see also 'Choosing a company name')	205	\$395	Late fee applies to Form 205 if lodged more than 14 days after passing resolution	Item 24(a)
<b>Change the company type</b> Notify of members' resolution to change the company type (e.g. from Public to Pty) and apply for change company type Form 206 <i>Application for change of company type</i> must also be lodged	205	\$78	Late fee applies to Form 205 if lodged more than 14 days after passing resolution	Item 25(a)
<b>Transfer place of registration</b> Apply to change the State or Territory of registration of company	2213	\$479		Item 5(c)
<b>Alter company constitution</b> Notify of members' resolution to change the company constitution	205	No fee	Late fee applies 14 days after passing resolution	
<b>Section 150 company</b> Notify non-compliance with s150 requirements or request licence revocation	433	No fee		

## Fundraising/disclosure

Note: The form number appearing in italics refers to an internal ASIC reference number and not a prescribed form. Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form	From 1 July 2017	Notes	
Lodge a prospectus	<i>764B or 764C</i>	\$2,400		Item 10(a)
Lodge an offer information statement	<i>764E</i>	\$2,400		Item 10(c)
Lodge a profile statement	<i>764F</i>	\$2,400		Item 10(b)
Lodge a product disclosure statement	<i>764K</i>	\$2,400		Item 44
Lodge a 'PDS in use' notice	FS88	\$39	Late fee applies 5 business days after PDS first given	Item 39
Lodge a supplementary or replacement disclosure document	<i>766B or 766C</i>	No fee		Item 10A
Apply for exemption from all or part of Ch 2L (provisions regulating debentures) Use Form CF04 <i>Applications for relief – debentures</i>	<i>2000 or 2002</i>	\$1,194		Item 22(b)
Apply for exemption from all or part of Ch 5C (provisions regulating managed investment schemes)	<i>5119 or 5121</i>	\$1,194		Item 22(b)
Apply for exemption from all or part of Ch 6D (provisions regulating fundraising) Use Form CF01 <i>Applications for relief – fundraising/equities</i> for Form 7110	<i>7110 or 7112</i>	\$1,194		Item 22(b)
Make an application under Ch 2L, 5C or 6D which does not have a fee specified elsewhere		\$78		Item 25(b)
Lodge a document under Ch 2L, 5C or 6D which does not have a fee specified elsewhere		\$39		Item 39

## Acquisition of shares

### Financial assistance for acquiring shares

What do you want to do?	Use form	From 1 July 2017	Notes	
Notify of resolution by shareholders in company, listed public company or holding company to approve financial assistance for acquiring shares	2205	No fee	Late fee applies if not notified within 14 days after passing resolution	

### In a takeover bid

Note: The form numbers appearing in italics refer to an internal ASIC reference number and not a prescribed form. Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form	From 1 July 2017	Notes	
Lodge a supplementary bidder's statement	<i>675A</i>	No fee		Item 21
Lodge a supplementary target's statement	<i>675B</i>	No fee		Item 21
Apply for an exemption from all or parts of the requirements under Ch 6, 6A, 6B or 6C (Use Form CF03 <i>Applications for relief – mergers &amp; acquisitions</i> )	<i>647 &amp;/or 648</i>	\$1,194		Item 22(b)
Make any other application under Ch 6, 6A, 6B or 6C which does not have a fee prescribed elsewhere (Use Form CF03)	<i>667 or 6062</i>	\$646		Item 23(b)
Lodge a bidder's statement and any other documents for an off market bid	<i>6181</i>	\$2,400		Item 18
Lodge a bidder's statement and other documents sent to the Securities Exchange for a market bid	<i>6291</i>	\$1,194	Late fee applies 1 day after documents sent to exchange	Item 20
Lodge a notice of variation of off market bid	<i>6321B</i>	\$1,194		Item 19
Lodge a target statement and report for an off-market bid	<i>6211</i>	No fee	Late fee applies 1 day after statement is sent to bidder	
Lodge a target statement and report for on-market bid	<i>6331</i>	No fee	Late fee applies 1 day after statement sent to relevant exchange	

## Closing a company or scheme

Note: Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form	From 1 July 2017	Notes	
Notify of members' resolution to enter members' voluntary winding up	205	No fee	Late fee applies 7 days after passing resolution	
Notify of members' resolution as to the powers and duties of a liquidator in voluntary winding up	205	No fee	Late fee applies 14 days after passing resolution	
Notify of members' resolution about company arrangements with liquidator in voluntary winding up	205	No fee	Late fee applies 14 days after passing resolution	
Notify of members' resolution binding company to arrangements with creditors in voluntary winding up	205	No fee	Late fee applies 14 days after passing resolution	
Notify of members' resolution to have the company wound up by a court	205	No fee	Late fee applies 14 days after passing resolution	
Apply for voluntary deregistration of a company	6010	\$39		Item 27
Apply for ASIC to exercise its powers to deal with property of a defunct company		\$646		Item 23(a)
Apply for ASIC to exercise its powers to fulfil the obligations of a deregistered company		\$646		Item 23(a)
Apply for voluntary deregistration of a scheme	6010A	\$39		Item 27
Notify commencement or completion of winding up of a scheme	5138	\$39	Late fee applies 14 days after commencement or completion date	Item 39

## Registered Australian bodies

What do you want to do?	Use form	From 1 July 2017	Notes	
Notify of ceasing business as registered Australian body	407	No fee	Late fee applies if not notified within 7 days after cessation	



What do you want to do?	Use form	From 1 July 2017	Notes	
Notify of dissolution, deregistration or winding up of registered Australian body	407	No fee	Late fee applies 1 month after dissolution, deregistration or winding up	
Notify change of name of registered Australian body	409	No fee	Late fee applies 14 days after the change of name	
Notify change in constitution of registered Australian body	409	No fee	Late fee applies 1 month after the change in constitution	

## Foreign companies

Note: Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form	From 1 July 2017	Notes	
Apply for registration as a foreign company	402	\$479		Item 6(b)
Notify change of local agent of foreign company	404	No fee	Late fee applies 1 month after change	
Lodge statement to verify financial statements	405	\$1,194	<p>A Form 405 may attract a late fee in either of these situations:</p> <ul style="list-style-type: none"> <li>It is not lodged within a calendar year and is lodged more than 15 months after the last Form 405</li> <li>a notifiable change is advised outside of the lodgement period (for multiple changes, only one late fee will apply).</li> </ul> <p>Therefore it is possible for a Form 405 to receive two late fees.</p>	Item 8
Lodge annual return	406	\$1,194	<p>A Form 406 may attract late fees in either of these situations:</p> <ul style="list-style-type: none"> <li>it is not lodged within a calendar year and within 1 month after the AGM.</li> <li>a notifiable change is advised outside the lodgement period (multiple changes, only one late fee will apply).</li> </ul> <p>Therefore it is possible for a Form 406 to receive two late fees.</p>	Item 8
Notify that foreign company is ceasing business	407	No fee	Late fee applies if not notified within 7 days after cessation	
Notify dissolution, deregistration or winding up of foreign company	407	No fee	Late fee applies 1 month after dissolution, deregistration or winding up	

What do you want to do?	Use form	From 1 July 2017	Notes	
Notify change of name of foreign company	409	No fee	Late fee applies 14 days after change of name	
Notify change constitution of foreign company	409	No fee	Late fee applies 1 month after change	
Notify change in powers of directors resident in Australia	409	No fee	Late fee applies 1 month after change	
Change of registered office address in Australia	489	No fee	Late fee applies if not notified within 7 days after change	
Change of office hours for registered office	489	No fee	Late fee applies if not notified within 7 days after change	
Change of address in place of origin	489	No fee	Late fee applies if not notified within 1 month after change	
Change directors or directors' details	490	No fee	Late fee applies if not notified within 1 month after change	

## Business names

Note: These fees are levied under the Business Names Registration (Fees) Regulations 2011.

<b>What do you want to do?</b>	<b>From 1 July 2017</b>	<b>Notes</b>	
A new application for registration of a business name to an entity for 1 year	\$35		Item 1
A new application for registration of a business name to an entity for 3 years	\$82		Item 3

## Auditors

### Individual auditors

Note: These fees are levied under items in Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form	From 1 July 2017	Notes	
Apply for registration as an individual auditor online	903AA	\$153		Item 3(a)(i)
Apply for registration as an individual auditor on paper	903AA	\$337		Item 3(a)(ii)
Notify that you are ceasing to practise	905	\$39	Late fee applies 21 days after date of cessation	Item 27
Change your details on the register	905	No fee	Late fee applies 21 days after date of cessation	
Apply for consent to resign as auditor of a specific company	342	\$39		Item 27
Apply for consent to resign as auditor of AFS licensee	FS08	No fee		Item 45
Apply for consent to resign as auditor of registered scheme	5132	\$39		Item 40
Apply for consent to resign as auditor of compliance plan	5113	\$39		Item 40
Lodge your annual statement online	912A	\$78	Late fee applies 1 month after anniversary of registration	Item 3A(a)
Lodge your annual statement on paper	912A	\$161	Late fee applies 1 month after anniversary of registration	Item 3A(b)

### Authorised audit company (AAC)

Note: Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form	From 1 July 2017	Notes	
Apply for registration as an AAC online	903AB	\$323		Item 51(a)
Apply for registration as an AAC on paper	903AB	\$646		Item 51(b)

<b>What do you want to do?</b>	<b>Use form</b>	<b>From 1 July 2017</b>	<b>Notes</b>	
Notify that your AAC is ceasing to practise	905	\$39	Late fee applies 28 days after date of cessation	Item 27
Change details of your AAC on the register	905	No fee	Late fee applies 28 days after date of cessation	
Apply for consent to resign as auditor of a specific company	342	\$39		Item 27
Apply for consent to resign as auditor of AFS licensee	FS08	No fee		Item 45
Apply for consent to resign as auditor of registered scheme	5132	\$39		Item 40
Apply for consent to resign as auditor of compliance plan	5113	\$39		Item 40
Lodge your AAC annual statement online	912B	\$161	Late fee applies 1 month after anniversary of registration	Item 52(a)
Lodge your annual statement on paper	912B	\$323	Late fee applies 1 month after anniversary of registration	Item 52(b)

## Self managed superannuation fund auditors

Note: Item numbers refer to the Superannuation Auditor Registration Imposition Regulation 2012.

What do you want to do?	From 1 July 2017	Notes	
Applying for registration as an approved SMSF auditor	\$107		Item 1
Undertaking a competency examination in accordance with section 128C of the SIS Act	\$107		Item 2
Giving the Regulator a statement under section 128G of the SIS Act	\$54		Item 3

## Liquidators

Note: Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form	From 1 July 2017	Notes	
Apply for registration as a liquidator	903BA	\$2,247		Item 4B
If the liquidator application is approved, a registration fee is also required	N/A	\$1,328		Item 4C
Apply for registered liquidator renewal	RL05			
a) if the application is lodged more than one month before the renewal date		\$1,736	If application is lodged more than 1 month before the renewal date	Item 4D(a)
b) if the application is lodged within one month of the renewal date		\$1,961	If application is lodged within 1 month of the renewal date	Item 4D(b)
Request ASIC to cancel or suspend your registration as a liquidator	905AA	\$39	Late fee applies 21 days after date of cessation	Item 27
Notify ASIC of a prescribed event (e.g. change of address)	905A	No fee	Late fee applies 21 days after date of change	
Lodge your annual statement for return years beginning before 1 March 2017	908	No fee	Late fee applies 1 month after anniversary of registration	
Lodge your annual liquidator return for return years beginning on or after 1 March 2017	908	No fee	Late fee applies 1 month after end of liquidator return year	
Notify ASIC of a significant event	RL30	No fee	Late fee applies if lodged outside of prescribed time	
Apply to remove or vary conditions or lift or shorten suspension	RL06	\$39		Item 27
Notify ASIC of other event – inaccuracy in a return	RL31	No fee	Late fee applies if lodged outside of prescribed time	
Notify ASIC of a court application for leave not to use former name on public documents	526	No fee		



## Financial services

### AFS licence

Note: The form numbers appearing in italics refers to an internal ASIC reference number and not a prescribed form. Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form	From 1 July 2017	Notes	
Apply for an individual AFS licence online	<i>FS01</i>	\$914		Item 1(b)
Apply for a corporate, partnership or trustee AFS licence online	<i>FS01</i>	\$1,643		Item 1(a)
Apply for an individual AFS licence on paper	<i>FS01</i>	\$914		Item 1(b)
Apply for a corporate, partnership or trustee AFS licence on paper	<i>FS01</i>	\$1,643		Item 1(a)
Vary AFS licence conditions—applying online	FS03	\$274		Item 1A(a)
Vary AFS licence conditions—applying on paper	FS03	\$323		Item 1A(b)
Notify change of details of AFS licensee	FS20	No fee	Late fee applies after 10 business days after change	
Apply to ASIC to declare person regulated by approved foreign regulatory body exempt from the requirement to hold an AFS licence	FS10 <i>FS10B</i>	No fee		Item 27C
Apply to ASIC to declare body corporate, partnership or non-corporate trustee exempt from the requirement to hold an AFS licence	FS10 <i>FS10C</i>	\$323		Item 4A(a)
Apply to ASIC to declare an individual exempt from the requirement to hold an AFS licence	FS10 <i>FS10D</i>	\$179		Item 4A(b)

## Financial reporting and audit of AFS licensee

Note: Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form	From 1 July 2017	Notes	
Lodge a profit and loss statement and balance sheet for an AFS licensee that is a body corporate, partnership or non-corporate trustee	FS70	\$608	Late fee applies more than 3 months after end of financial year for disclosing entities and 4 months for non-disclosing entities	Item 9(a)
Lodge a profit and loss statement and balance sheet for an AFS licensee that is an individual	FS70	\$249	Late fee applies more than 2 months after end of financial year	Item 9(b)
Lodge an AFS licensee audit report (lodged with Form FS70)	FS71	No fee		
Notify appointment of an auditor to AFS licensee	FS06	\$39	Late fee applies more than 14 days after appointment	Item 39
Notify cessation of an auditor of AFS licensee	FS09	No fee		
Apply for consent to remove an auditor of AFS licensee	FS07	No fee		Item 27A

## Other financial services matters

Note: The form number appearing in italics refers to an internal ASIC reference number and not a prescribed form. Item numbers refer to Sch 1 of the Corporations (Fees) Regulations 2001.

What do you want to do?	Use form	From 1 July 2017	Notes	
Apply to ASIC that it declare a product is not a 'financial product'	FS10 <i>FS10A</i>	\$479		Item 27B
Apply, as responsible entity, for consent to remove auditor of a registered scheme	5132	\$39		Item 40
Apply, as responsible entity, for consent to remove auditor of scheme's compliance plan	<i>5112</i>	\$39		Item 40
Lodge a document under Ch 7 which does not have a fee specified elsewhere		\$39		Item 39

## Credit services

### Credit licence

Fees are determined by the National Consumer Credit Protection (Fees) Regulations 2010.

What do you want to do?	Use form	From 1 July 2017	Notes	
Vary authorisations or conditions of an Australian credit licence	CL03	Online – \$115 Paper - \$140		Item 2.3
Notify changes to credit licence details	CL20	Online – No fee Paper – \$25	Late fees apply more than 14 business days after changes to alternative address, or more than 14 business days after changes to other details of a licensee.	Items 2.21, 2.22
Apply for exemption or modification				
Request for no action letter	CL11	Online – No fee Paper – No fee		Items 2.10, 2.11, 2.13, 2.14A, 2.14B, 2.14C and 2.14D
Application for relief	CL11	Online – \$115 Paper - \$140		Items 2.10, 2.11, 2.13, 2.14A, 2.14B, 2.14C and 2.14D

### Late lodgement fee

Documents notifying changes must be lodged within the time prescribed for notifying that change.

Note: Late lodgement fees are payable **in addition to** any prescribed fee. Item numbers refer to Sch 1 of the National Consumer Credit Protection (Fees) Regulations 2010.

<b>How late is the document?</b>	<b>From 1 July 2017</b>	<b>Notes</b>	
If document is received within 1 month after the prescribed time	\$67		Item 3.4
If document is received more than 1 month after the prescribed time	\$278		Item 3.5

## Miscellaneous applications and notifications

Note: Item numbers refer to Sch 1 to the Corporations (Fees) Regulations 2001.

<b>What do you want to do?</b>	<b>Use form:</b>	<b>From 1 July 2017</b>	<b>Notes</b>	
Apply for extension of time to hold annual general meeting	2501	\$39		Item 27
Request ASIC do something it is required or authorised to do and which does not have a fee specified elsewhere		\$39		Item 40
Apply to ASIC that it produce a document in its custody pursuant to a subpoena		\$39		Item 36
Make any other application to ASIC which does not have a fee specified elsewhere		\$39		Item 27